

# Chapter 2

## Entity-Relationship Data Modeling: Tools and Techniques

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**DATABASE PROCESSING**  
Fundamentals, Design,  
and Implementation, 9/e

# Three Schema Model

- ANSI/SPARC introduced the three schema model in 1975
- It provides a framework describing the role and purpose of data modeling

# Three Schema Model (cont.)

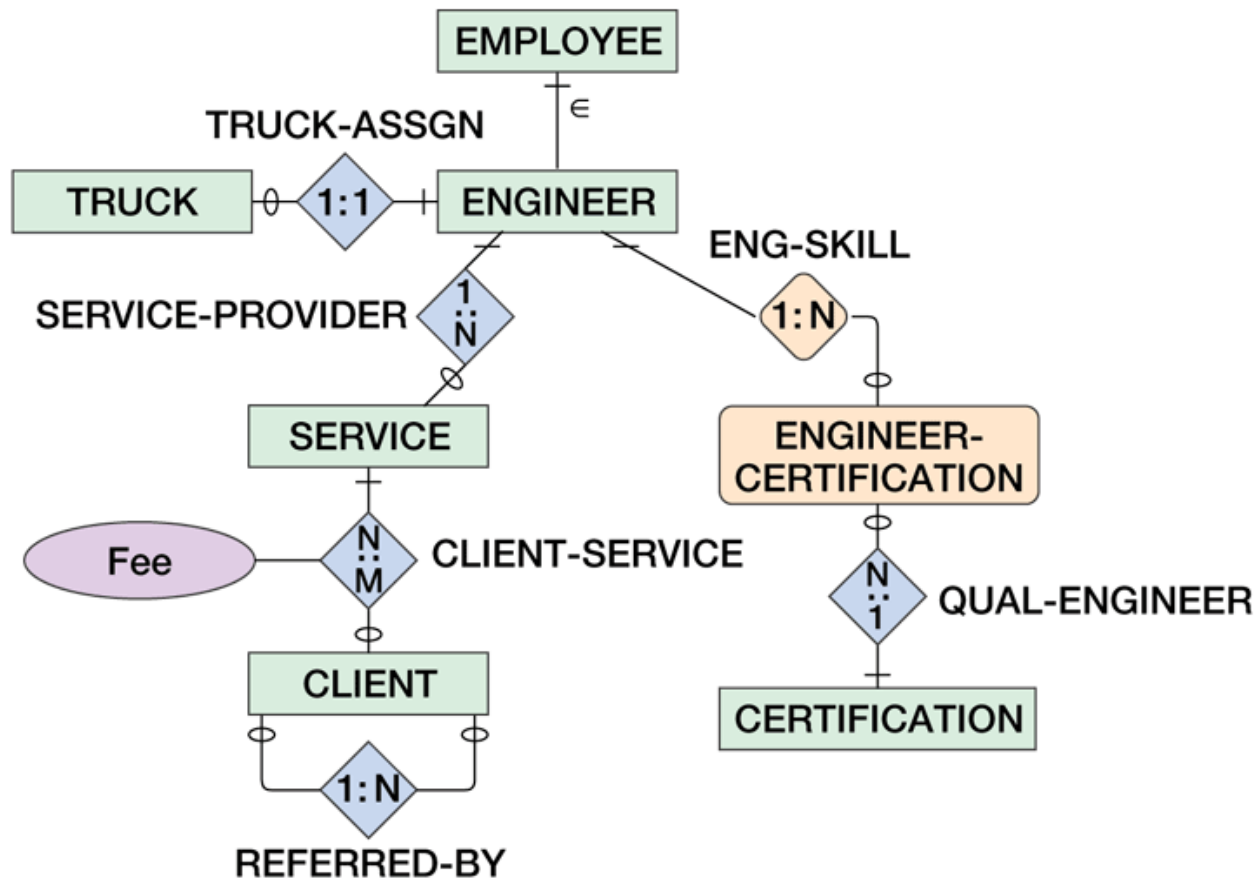
- **External schema or user view**
  - Representation of how users view the database
- **Conceptual schema**
  - A logical view of the database containing a description of all the data and relationships
  - Independent of any particular means of storing the data
  - One conceptual schema usually contains many different external schemas
- **Internal schema**
  - A representation of a conceptual schema as physically stored on a particular product
  - A conceptual schema can be represented by many different internal schemas

# E-R Model

- **Entity-Relationship model** is a set of concepts and graphical symbols that can be used to create conceptual schemas
- Four versions
  - **Original E-R model** by Peter Chen (1976)
  - **Extended E-R model**: the most widely used model
  - **Information Engineering (IE)** by James Martin (1990)
  - **IDEF1X** national standard by the National Institute of Standards and Technology
  - **Unified Modeling Language (UML)** supporting object-oriented methodology

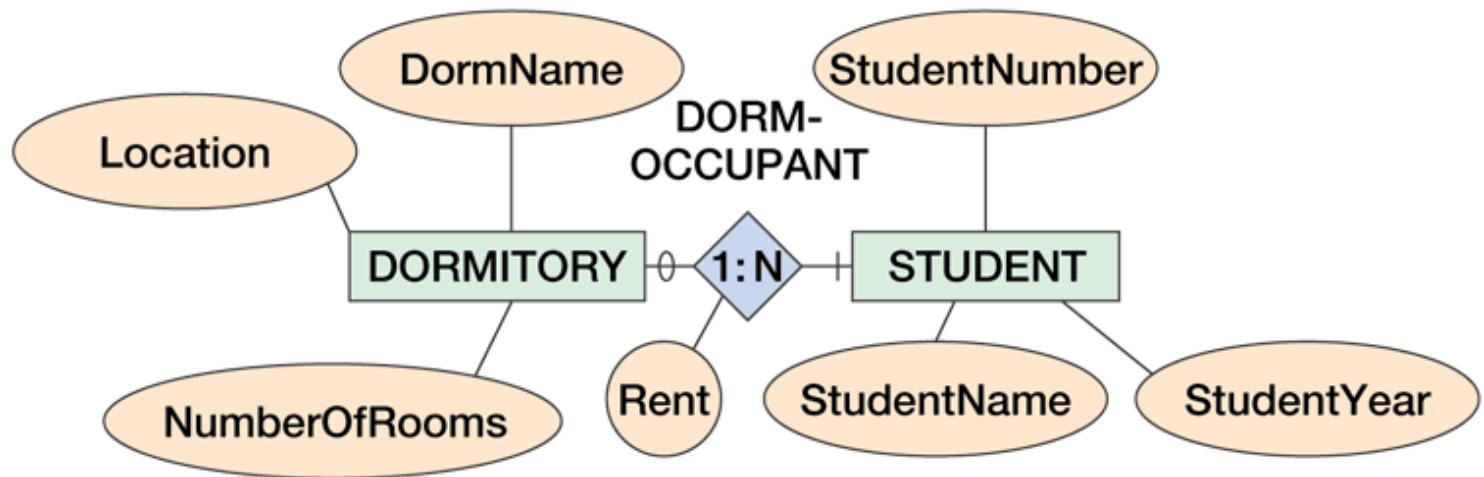
# The Extended E-R Model

Figure 2.15 Example Entity-Relationship Diagram



# Example: E-R Diagram

**Figure 2.10** Showing Attributes in an Entity-Relationship Diagram



# Entities

- Something that can be identified and the users want to track
  - **Entity class** is a collection of entities described by the entity format in that class
  - **Entity instance** is the representation of a particular entity
- There are usually many instances of an entity in an entity class

# Example: Entity

**Figure 2.5** CUSTOMER: An Example of an Entity

**CUSTOMER**  
entity contains:  
CustNumber  
CustName  
Address  
City  
State  
Zip  
ContactName  
PhoneNumber

Two instances of CUSTOMER:

12345  
Ajax Manufacturing  
123 Elm St  
Memphis  
TN  
32455  
P. Schwartz  
223-5567

67890  
Jefferson Dance Club  
345-10th Avenue  
Boston  
MA  
01234  
Frita Bellingsley  
210-8896



# Attributes

- Description of the entity's characteristics
- All instances of a given entity class have the same attributes
  - **Composite attribute**: attribute consisting of the group of attributes
  - **Multi-value attributes**: attribute with more than one possible value

# Identifiers

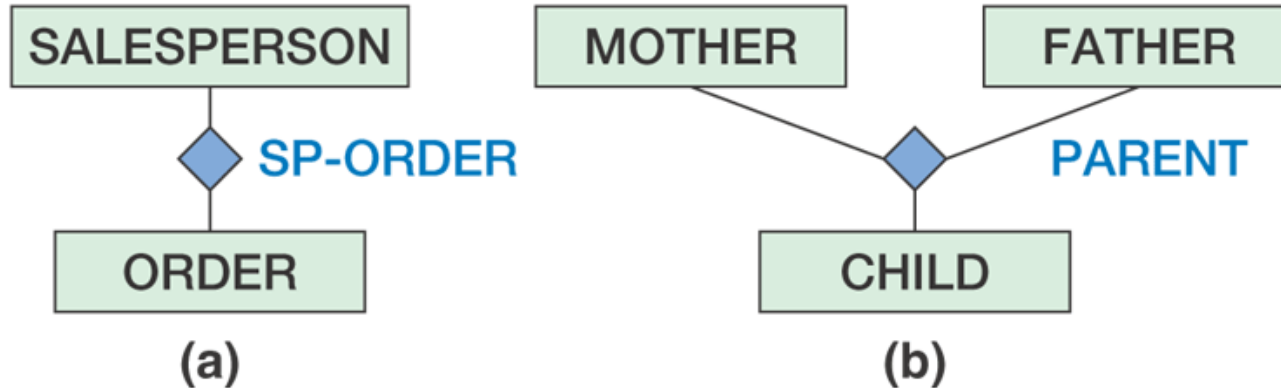
- Identifiers are attributes that name, or identify, entity instances
- The identifier of an entity instance consists of one or more of the entity's attributes
- An identifier may be either unique or non-unique
  - **Unique identifier**: the value identifies one and only one entity instance
  - **Non-unique identifier**: the value identifies a set of instances
- **Composite identifiers**: Identifiers that consist of two or more attributes

# Relationships

- Entities can be associated with one another in relationships
  - **Relationship classes**: associations among entity classes
  - **Relationship instances**: associations among entity instances
- Relationships can have attributes
- A relationship class can involve many entity classes
- **Degree of the relationship** is the number of entity classes in the relationship

# Example: Degree of the relationship

**Figure 2.6** Relationships of Different Degrees (a) Example Relationship of Degree 2 and (b) Example Relationship of Degree 3



- Relationships of degree 2 are very common and are often referred to by the term binary relationships

# Binary Relationships

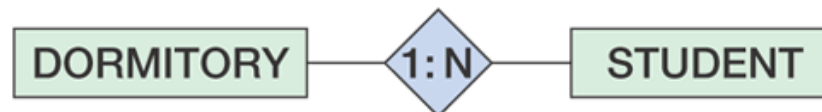
- 1:1
- 1:N
- N:M

**Figure 2.7** Three Types of Binary Relationships  
(a) 1:1 Binary Relationship; (b) 1:N Binary Relationship  
and (c) N:M Binary Relationship



AUTO-ASSIGNMENT

(a)



DORM-OCCUPANT

(b)



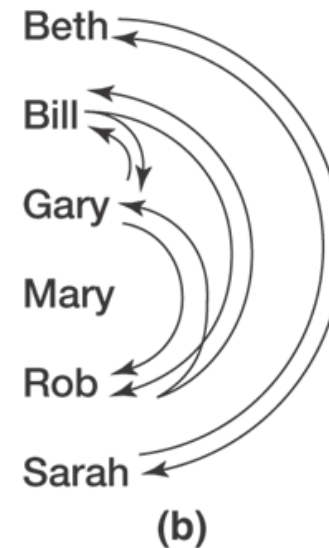
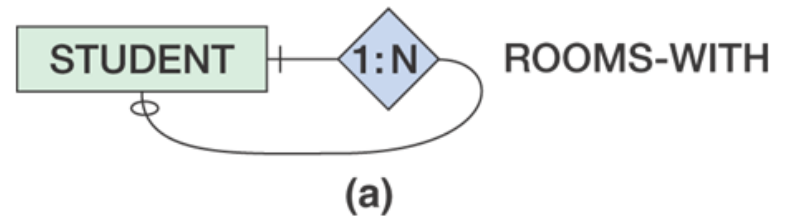
STUDENT-CLUB

(c)

# Recursive Relationship

- Recursive relationships are relationships among entities of a single class

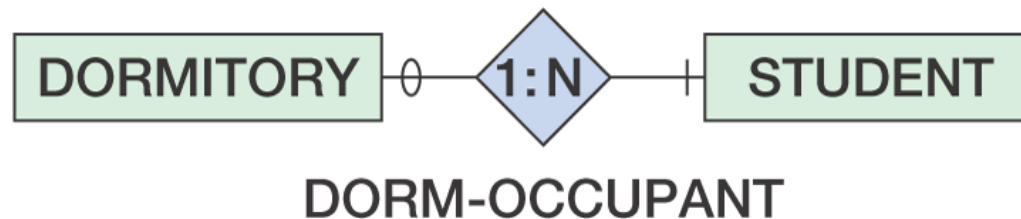
**Figure 2.9** Recursive Relationship (a) E-R Diagram and (b) Sample Data



# Cardinality

- **Maximum cardinality** indicates the maximum number of entities that can be involved in a relationship
- **Minimum cardinality** indicate that there may or may not be an entity in a relationship

**Figure 2.8** Relationship with Minimum Cardinality Shown



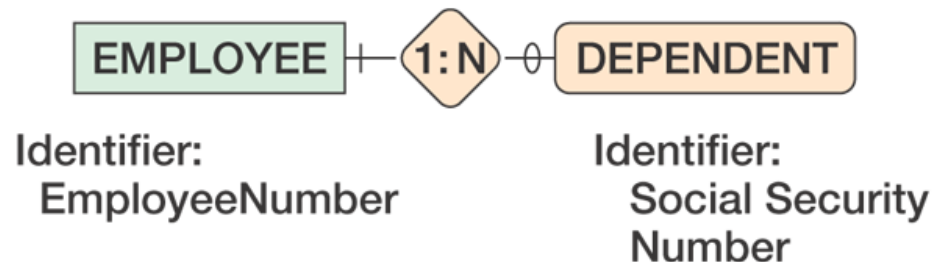
# Weak Entities

- **Weak entities** are those that must logically depend on another entity
- Weak entities cannot exist in the database unless another type of entity (**strong entity**) also exists in the database
  - **ID-dependent entity**: the identifier of one entity includes the identifier of another entity

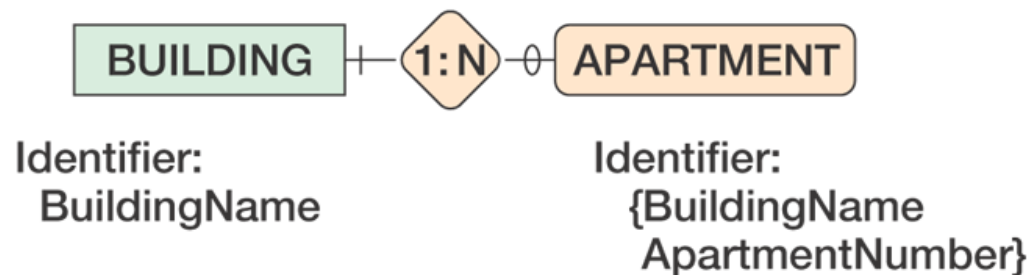


# Example: Weak Entities

**Figure 2.11** Weak Entities (a) Weak, but Not ID-Dependent and (b) ID-Dependent



(a)



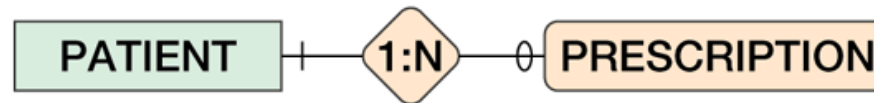
(b)

# Example: Weak Entities

**Figure 2.12** Examples of Required Entities



(a)



(b)



Identifier:  
{ProjectName,  
TaskName}

Identifier:  
ProjectName

(c)

# Subtype Entities

- **Subtype entity** is an entity that represents a special case of another entity, called **supertype**
- Sometimes called an **IS-A relationship**
- Entities with an IS-A relationship should have the same identifier

# Example: Subtype Entities

**Figure 2.14a** Subtype Entities —  
CLIENT Without Subtype Entities

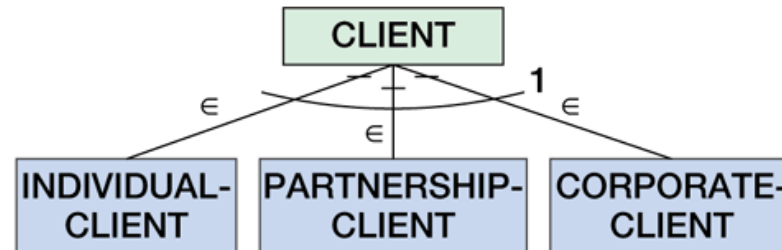
**CLIENT Contains**

ClientNumber  
ClientName  
AmountDue  
Address  
SocialSecurityNumber  
ManagingPartnerName  
TaxIdentificationNumber  
ContactPerson  
Phone

**(a)**

# Example: Subtype Entities

**Figure 2.14b** Subtype Entities — CLIENT with Subtype Entities



CLIENT Contains

ClientNumber  
ClientName  
AmountDue

INDIVIDUAL-CLIENT Contains

Address  
SocialSecurityNumber

PARTNERSHIP-CLIENT Contains

ManagingPartnerName  
Address  
TaxIdentificationNumber

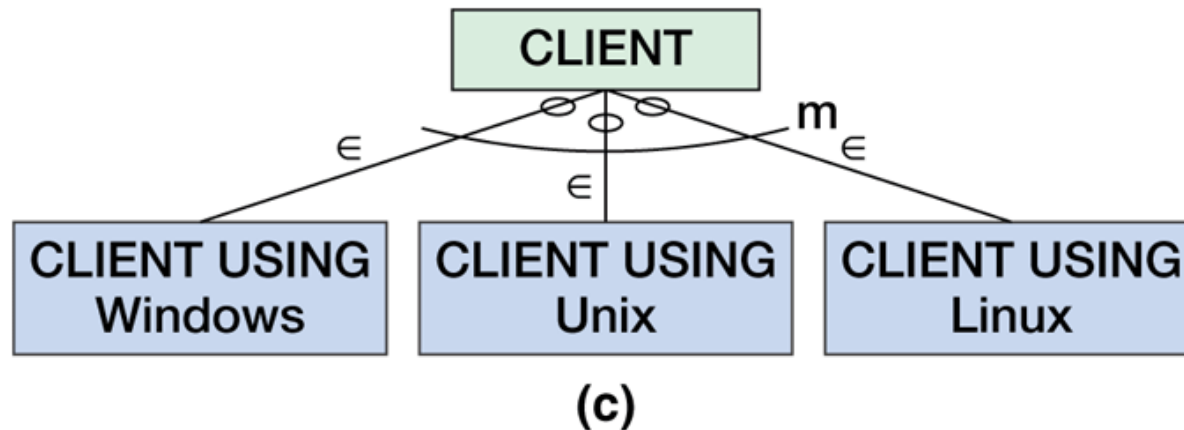
CORPORATE-CLIENT Contains

ContactPerson  
Phone  
TaxIdentificationNumber

(b)

# Example: Subtype Entities

**Figure 2.14c** Subtype Entities — Non-Exclusive Subtypes with Optional Supertype

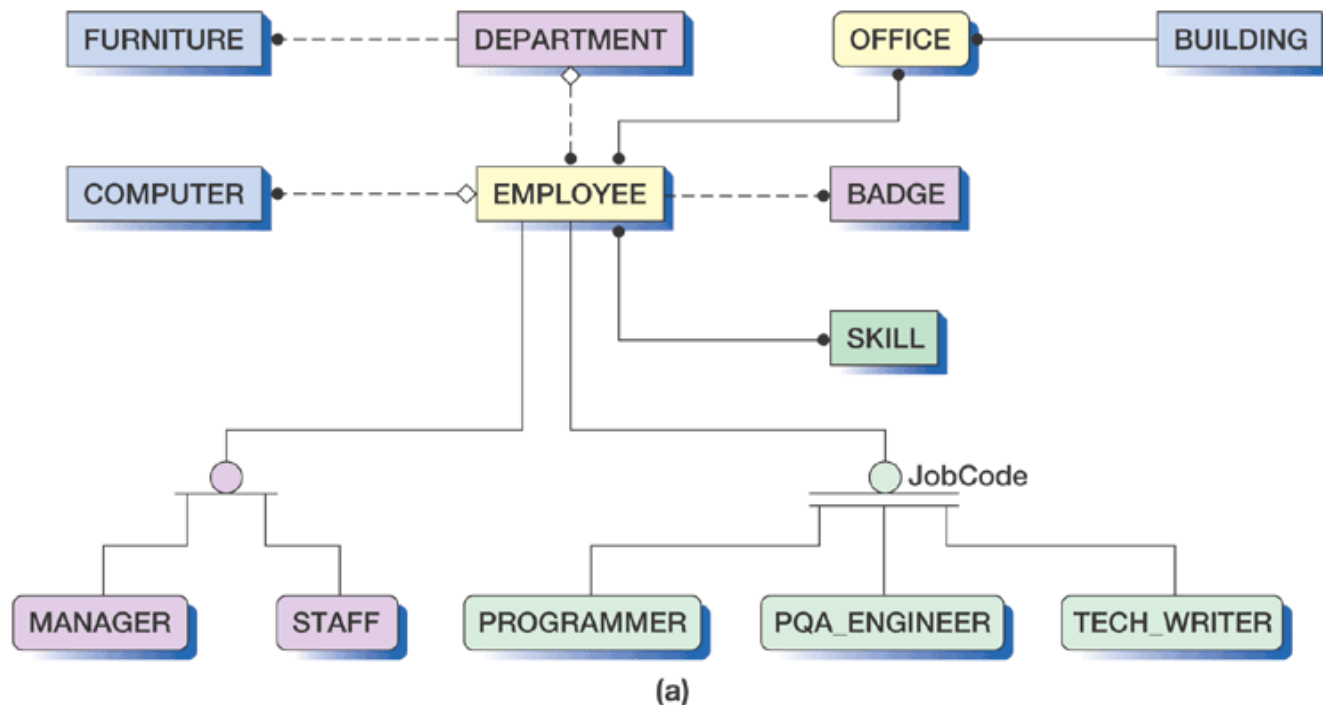


# IDEF1X Standard

- **IDEF1X** (Integrated Definition 1, Extended) was announced as a national standard in 1993
- It defines entities, relationships, and attributes in more specific meanings
- It changed some of the E-R graphical symbols
- It includes definition of domains, a component not present in the extended E-R model
- Four Relationship Types
  - Non-Identifying Connection Relationships
  - Identifying Connection Relationships
  - Non-Specific Relationships
  - Categorization Relationships
- Products supporting IDEF1X: ERWin, Visio, Design/2000

# Example: IDEF1X

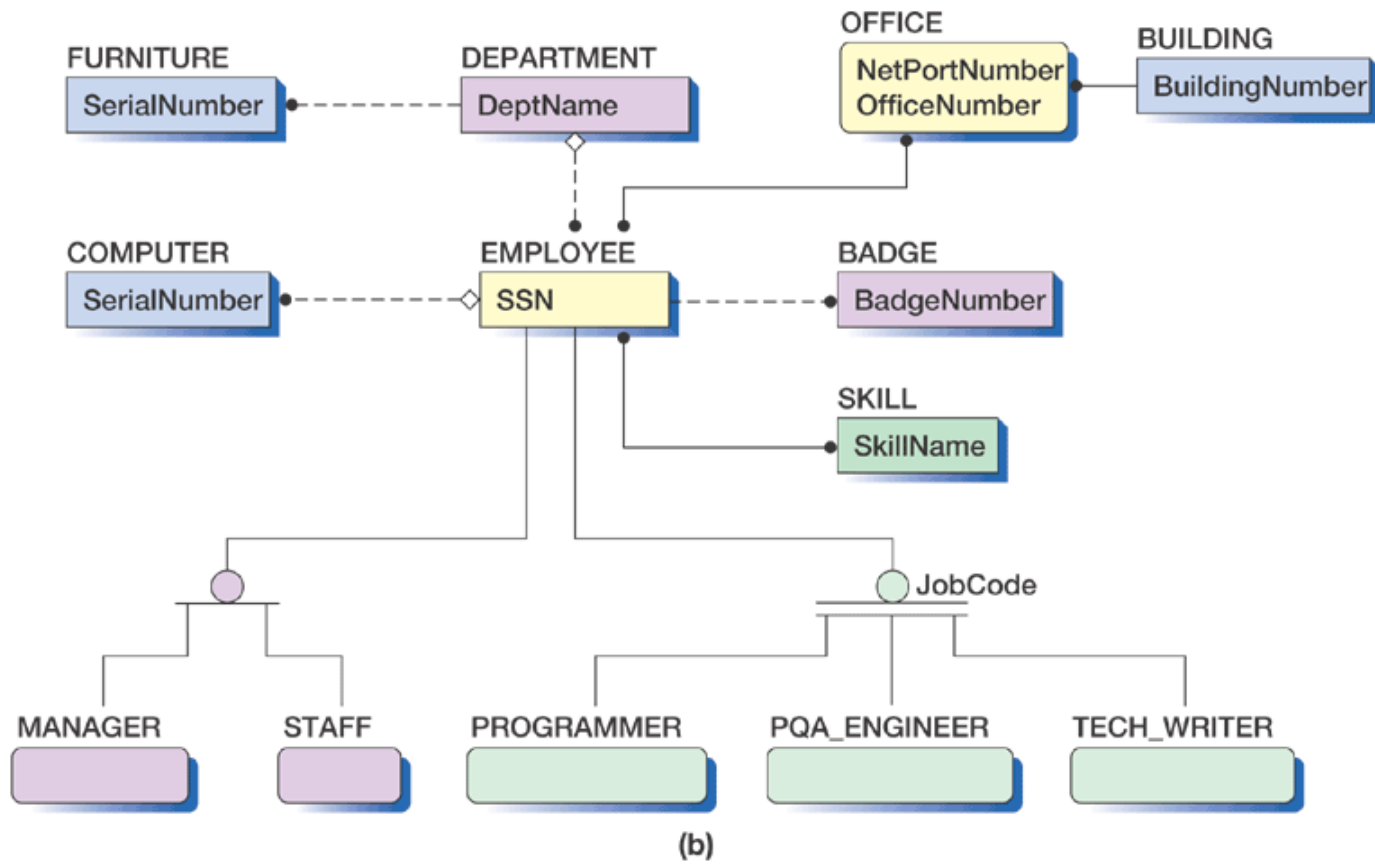
**Figure 2.17a** Levels of Detail in IDEF1X Models — Entities Only





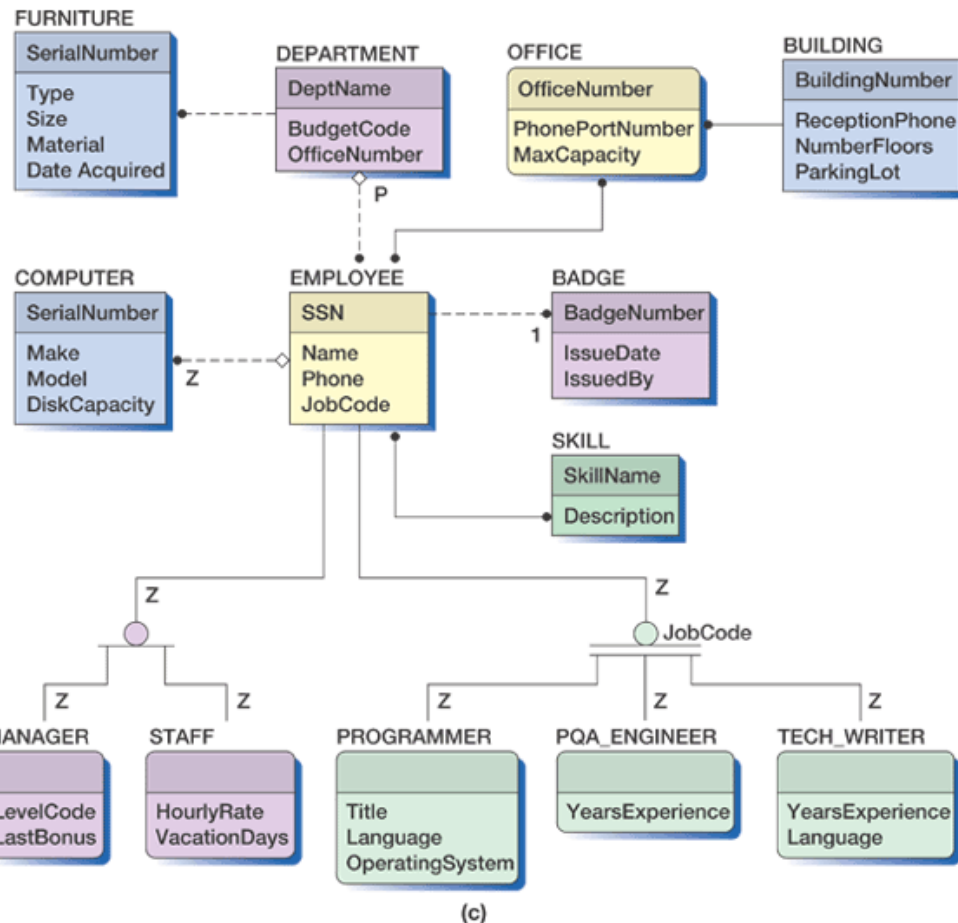
# Example: IDEF1X

**Figure 2.17b** Levels of Detail in IDEF1X Models — Entities and Primary Keys



# Example: IDEF1X

Figure 2.17c Levels of Detail in IDEF1X Models — Entities and Attributes

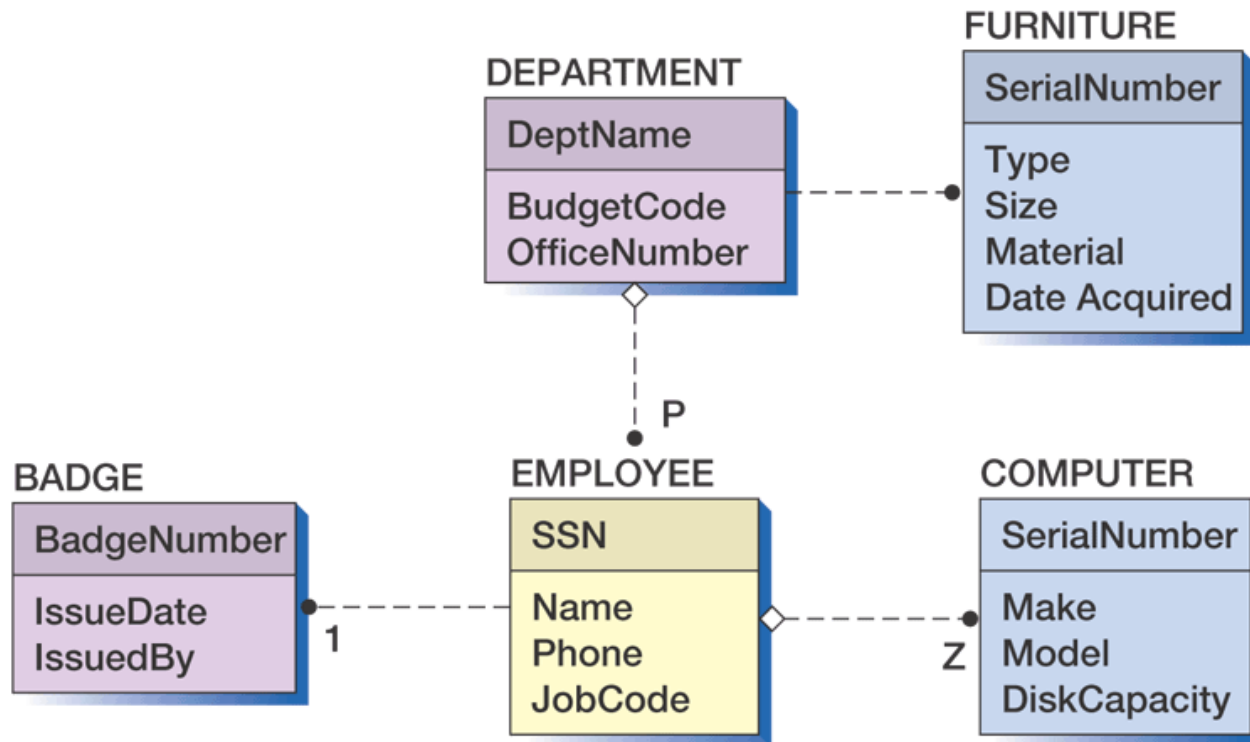


# Non-Identifying Connection Relationships

- Represent relationship with a dashed line from a parent to a child entity
- Default cardinality is 1:N with a mandatory parent and an optional child
  - 1 indicates exactly one child is required
  - Z indicates zero or one children
  - P indicates one or more child entities are required
  - ◇ indicates the parent is optional

# Non-Identifying Connection Relationships

Figure 2.19 Non-Identifying Connection Relationships

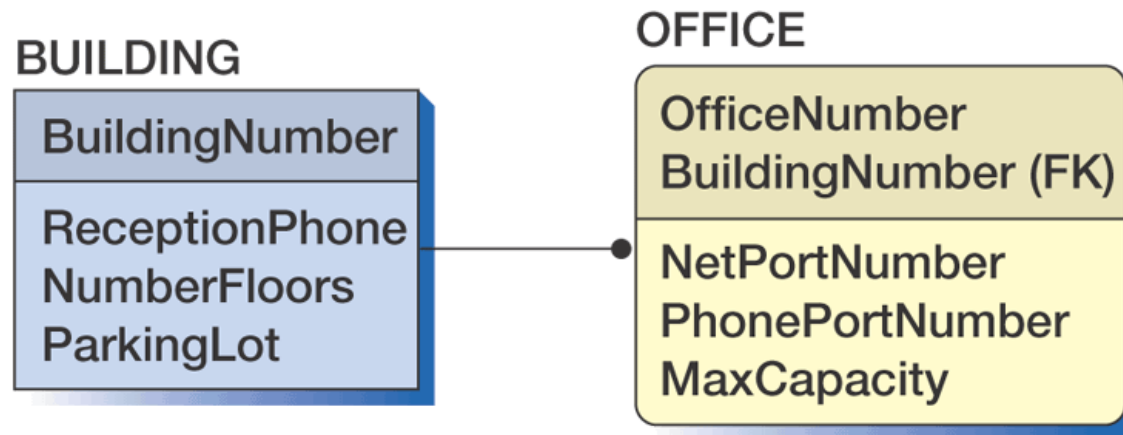


# Identifying Connection Relationships

- Same as ID-dependent relationships in the extended E-R model
- Parent's identifier is always part of the child's identifier
- Relationship are indicated with solid lines, child entities are shown with rounded corners (ID-dependent entities only)

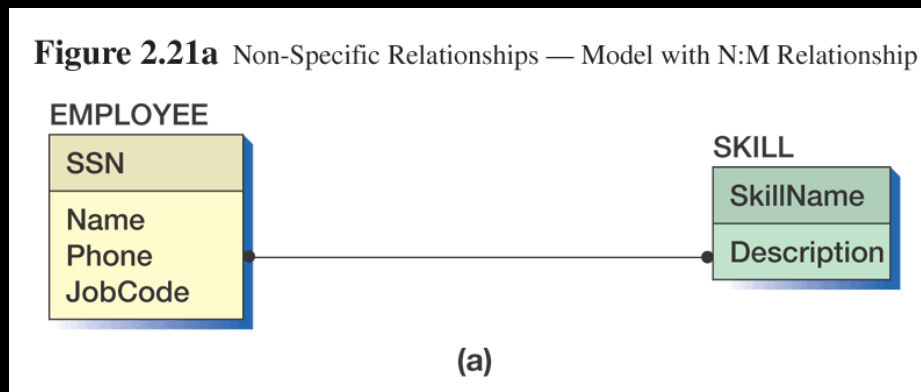
# Identifying Connection Relationships

**Figure 2.20** Identifying Connection Relationship



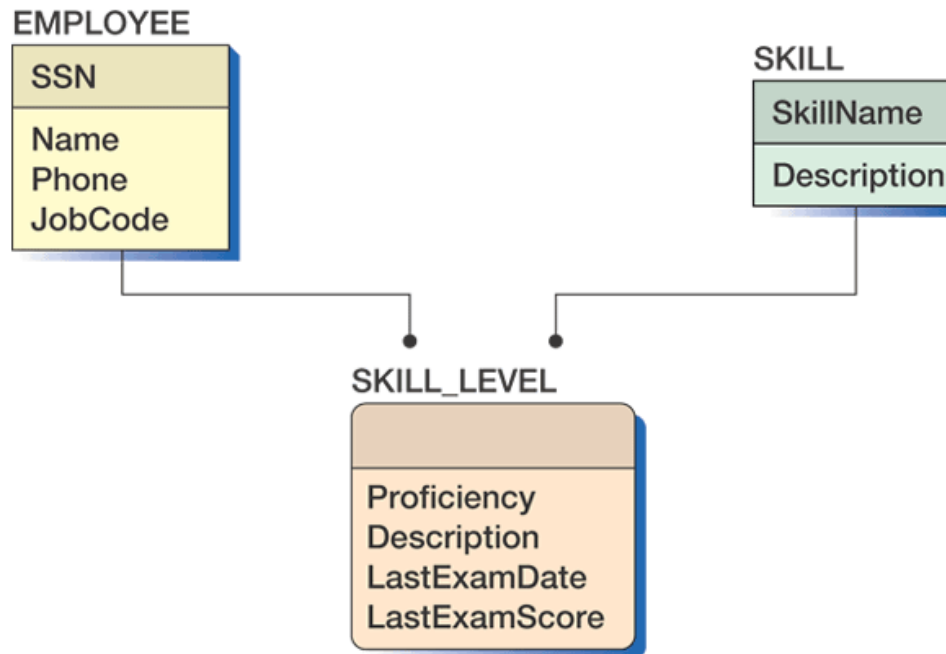
# Non-Specific Relationships

- Simply a many-to-many relationship
- Relationships are shown with a filled-in circle on each end of the solid relationship line
- Cannot set minimum cardinalities of a non-specific relationship



# Non-Specific Relationships

**Figure 2.21b** Non-Specific Relationships — Model Showing Missing Entity



Note: Identifier of SKILL\_LEVEL  
is (SSN, SkillName)

(b)

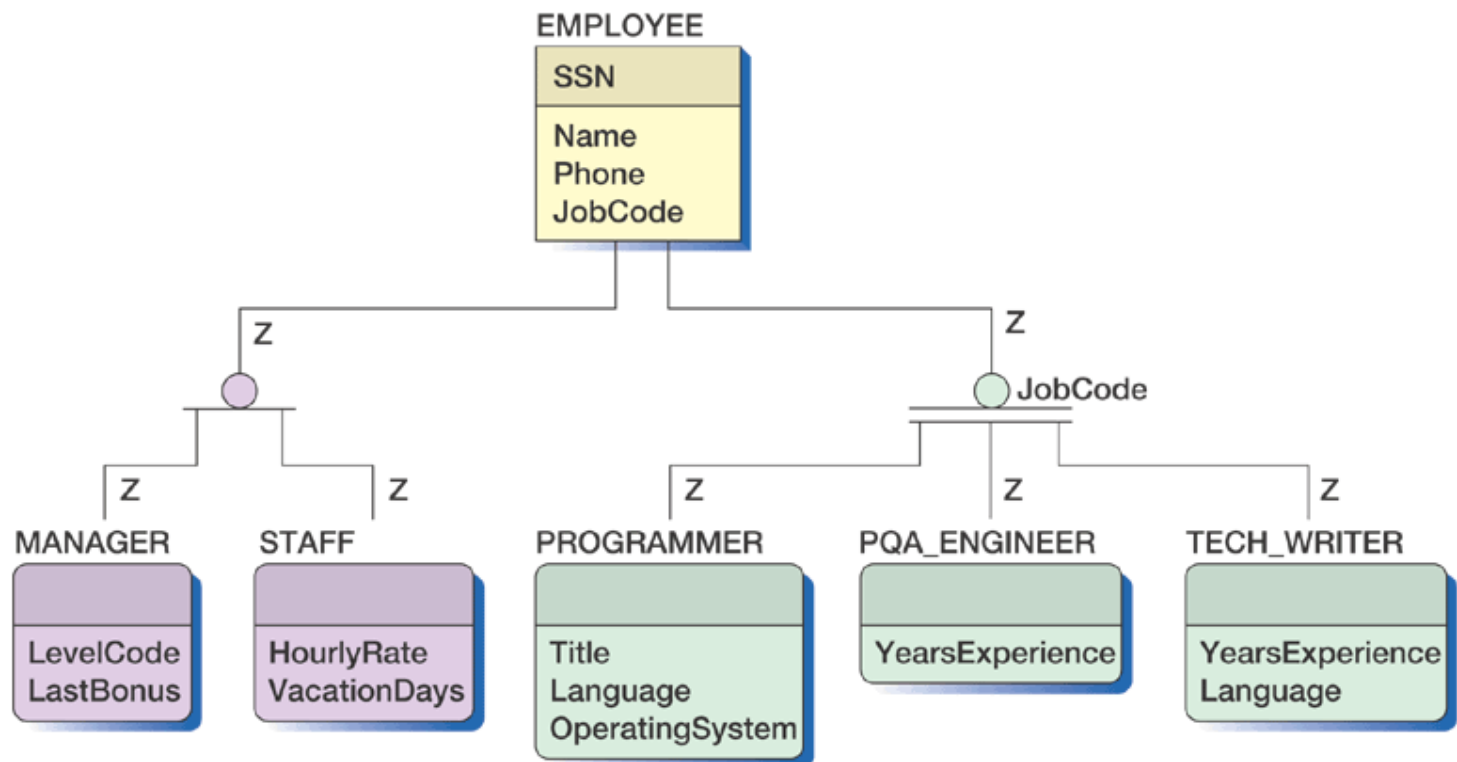


# Categorization Relationships

- A relationship between a generic entity and another entity called a **category entity**
- Called specialization of generalization/subtype relationships (IS-A relationships) in the extended E-R model
- Within category clusters, category entities are mutually exclusive
- Two types of category clusters:
  - **Complete**: every possible type of category for the cluster is shown (denoted by two horizontal lines with a gap in-between)
  - **Incomplete**: at least one category is missing (denoted by placing the category cluster circle on top of a single line, no gap between horizontal lines)

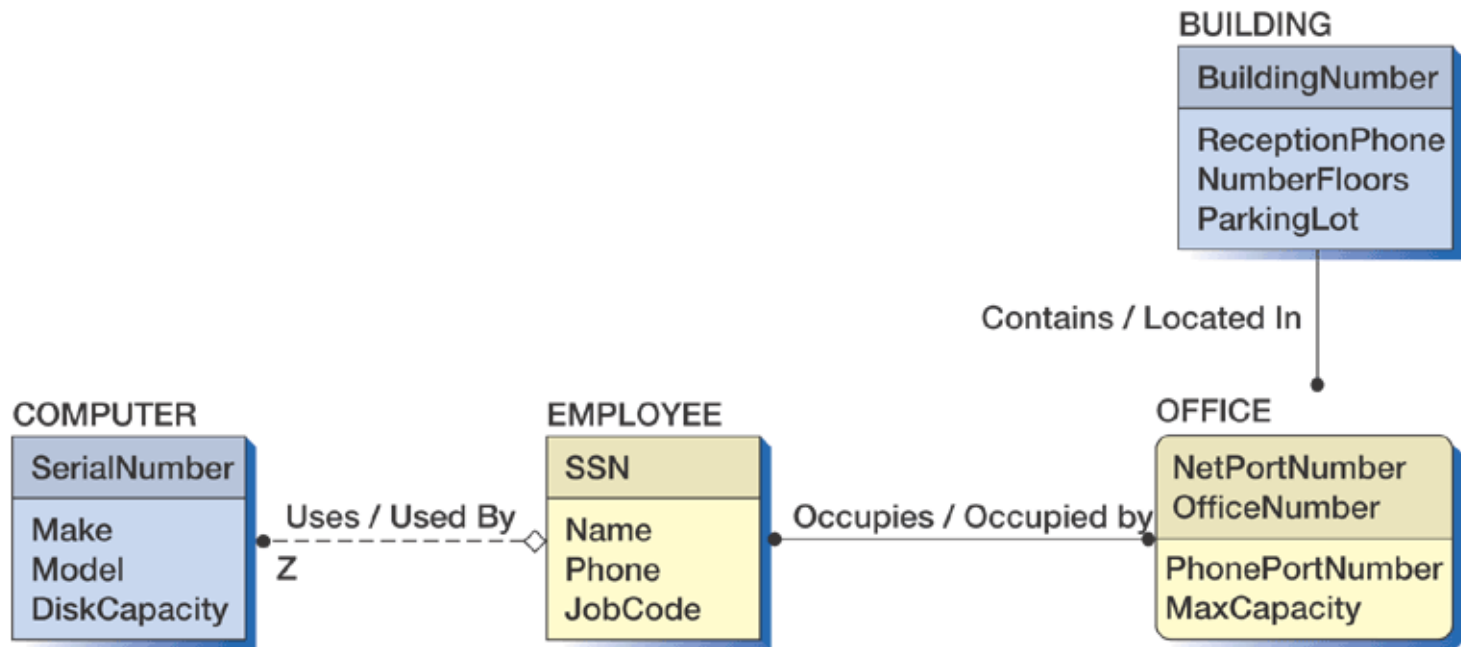
# Example: Categorization Relationships

**Figure 2.23** Incomplete and Complete Category Clusters



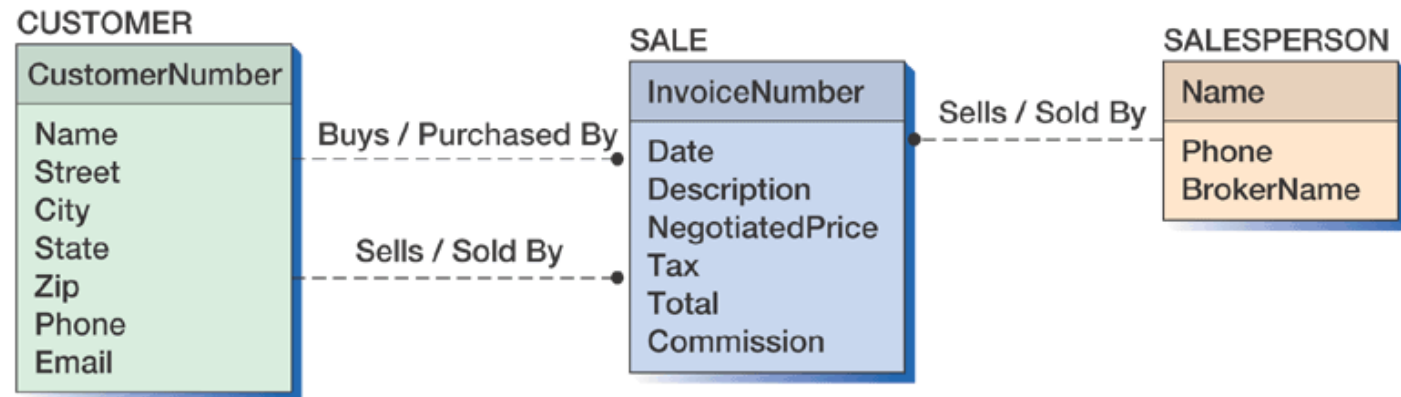
# Example: IDEF1X Model With Relationship Names

**Figure 2.24** IDEF1X Model Showing Relationship Names



# Example: IDEF1X Model With Relationship Names

**Figure 2.25** Using Names for Multiple Relationships between the Two Entities

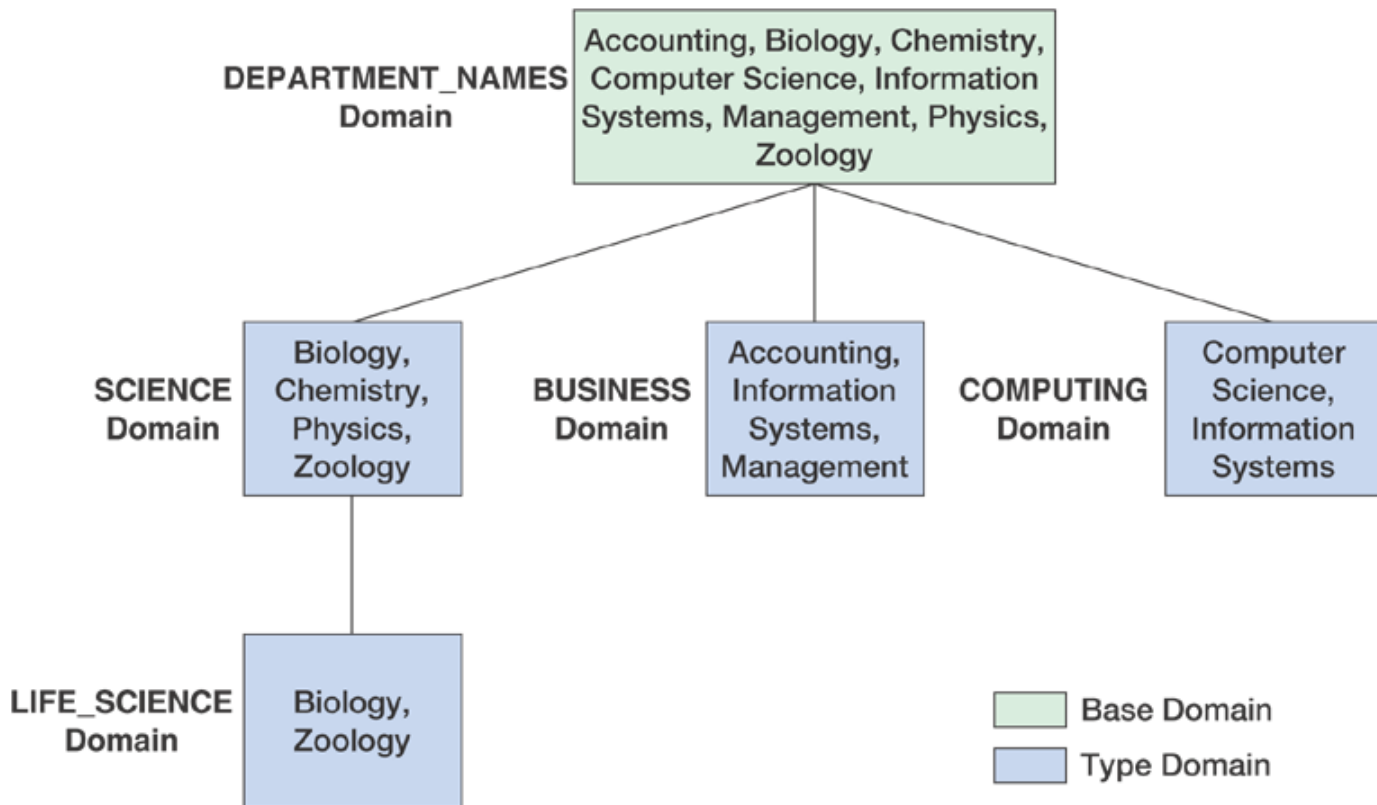


# Domains

- A **domain** is a named set of values that an attribute can have
- It can be a specific list of values or a pre-defined data characteristic, e.g. character string of length less than 75
- Domains reduce ambiguity in data modeling and are practically useful
- Two types of domains
  - **Base domain**: have a data type and possibly a value list or range definition
  - **Type domain**: a subset of a base domain or a subset of another type domain

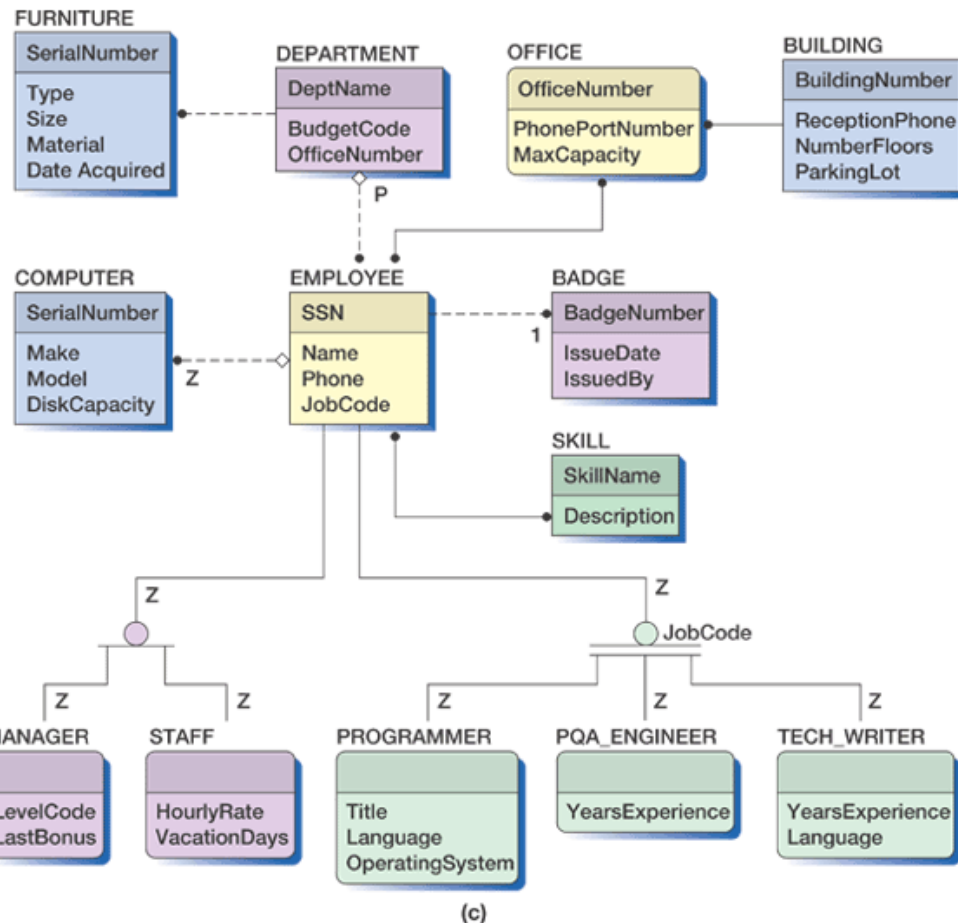
# Example: Domain Hierarchy

**Figure 2.26** Example of Domain Hierarchy



# Example: IDEF1X

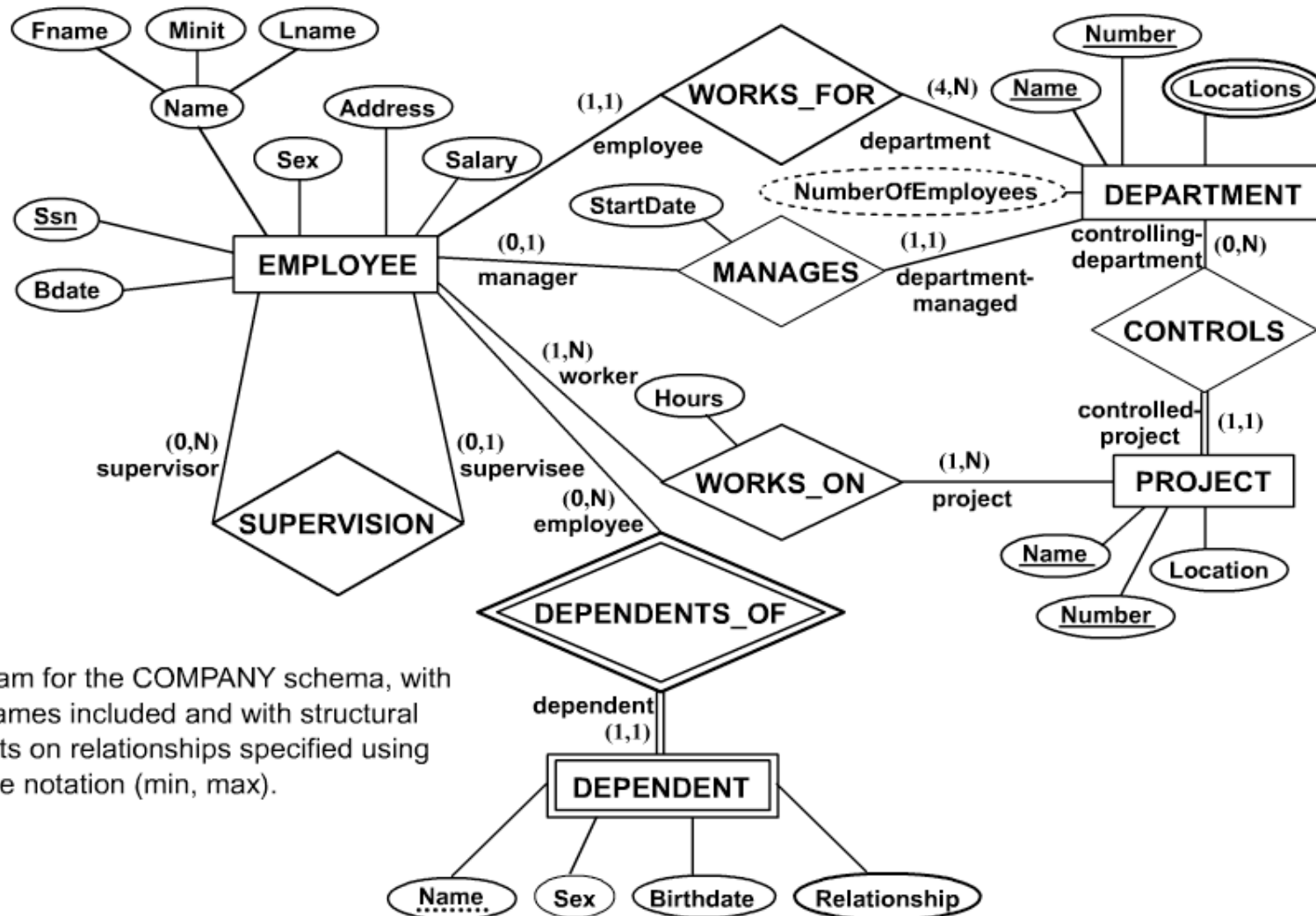
Figure 2.17c Levels of Detail in IDEF1X Models — Entities and Attributes



(c)

# “Company” ER Schema Diagram

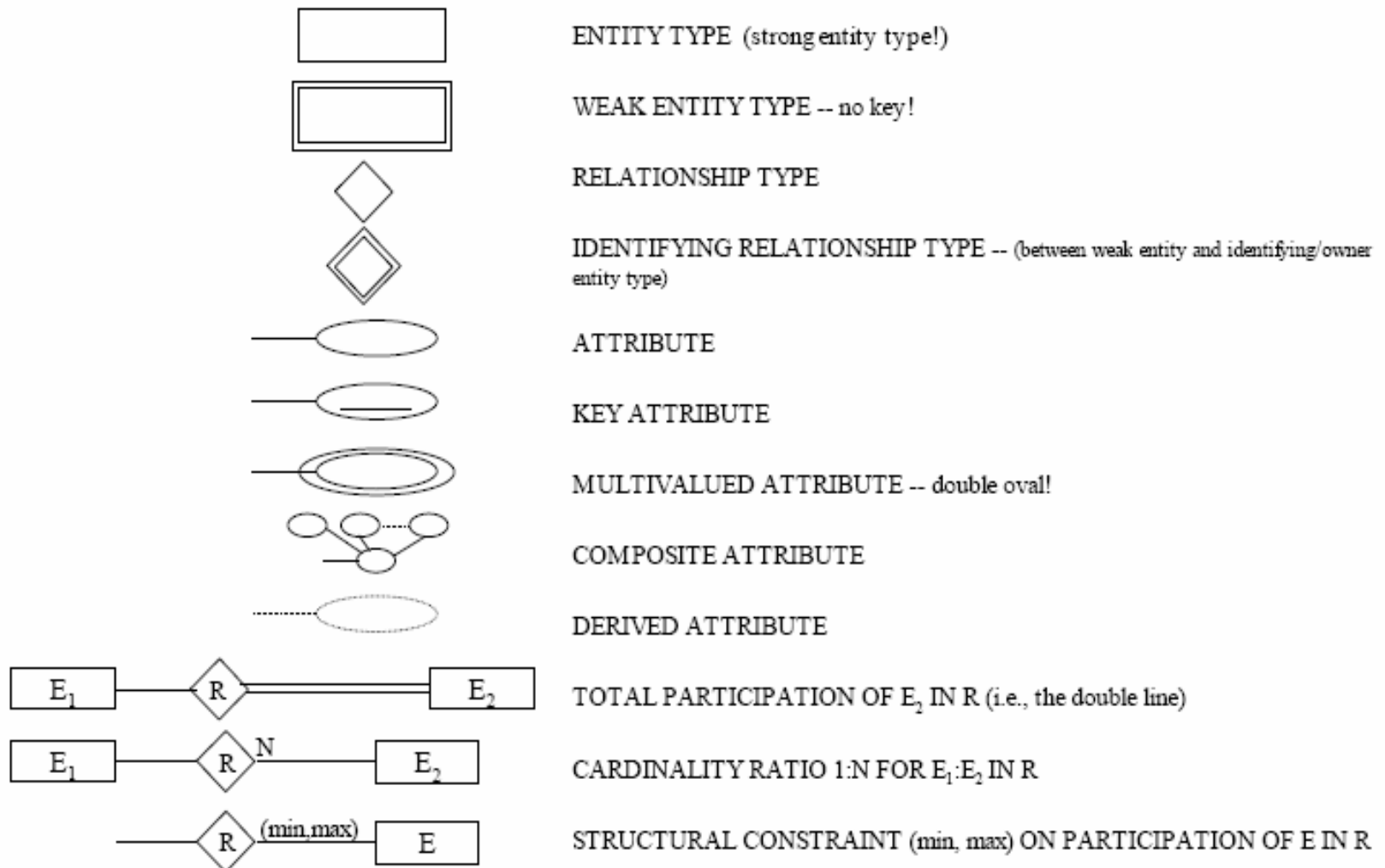
## Alternative ER Notations



ER diagram for the COMPANY schema, with all role names included and with structural constraints on relationships specified using alternative notation (min, max).



# Summary of ER Diagram Notation for ER Schemas

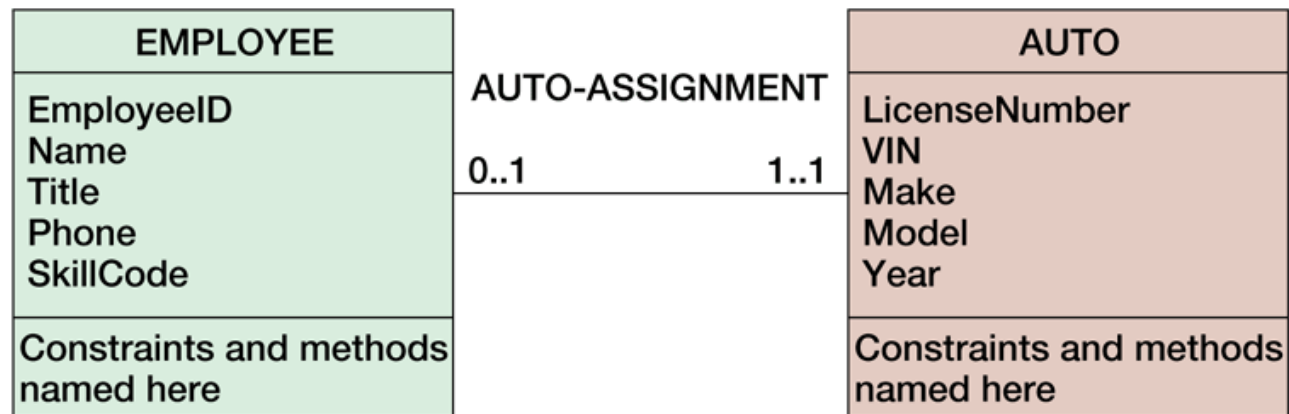


# UML-style E-R Diagrams

- The **Unified Modeling Language (UML)** is a set of structures and techniques for modeling and designing **object-oriented programs (OOP)** and applications
- The concept of UML entities, relationships, and attributes are very similar to those of the extended E-R model
- Several OOP constructs are added:
  - <Persistent> indicates that the entity class exist in the database
  - UML allows entity class attributes
  - UML supports visibility of attributes and methods
  - UML entities specify constraints and methods in the third segment of the entity classes
- Currently, the object-oriented notation is of limited practical value

# Example: UML

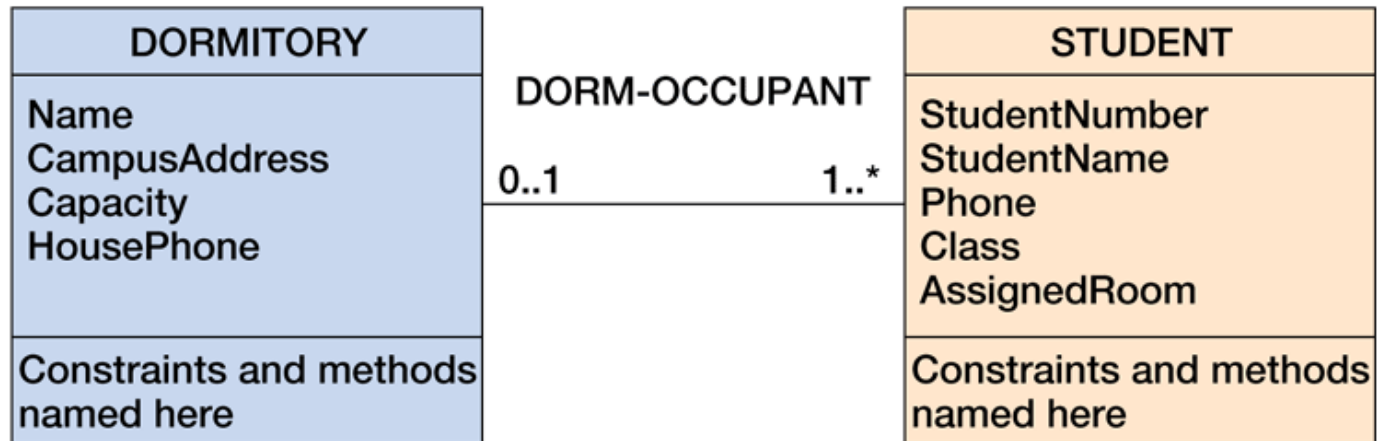
**Figure 2.27a** UML Representation of a 1:1 Relationship



(a)

# Example: UML

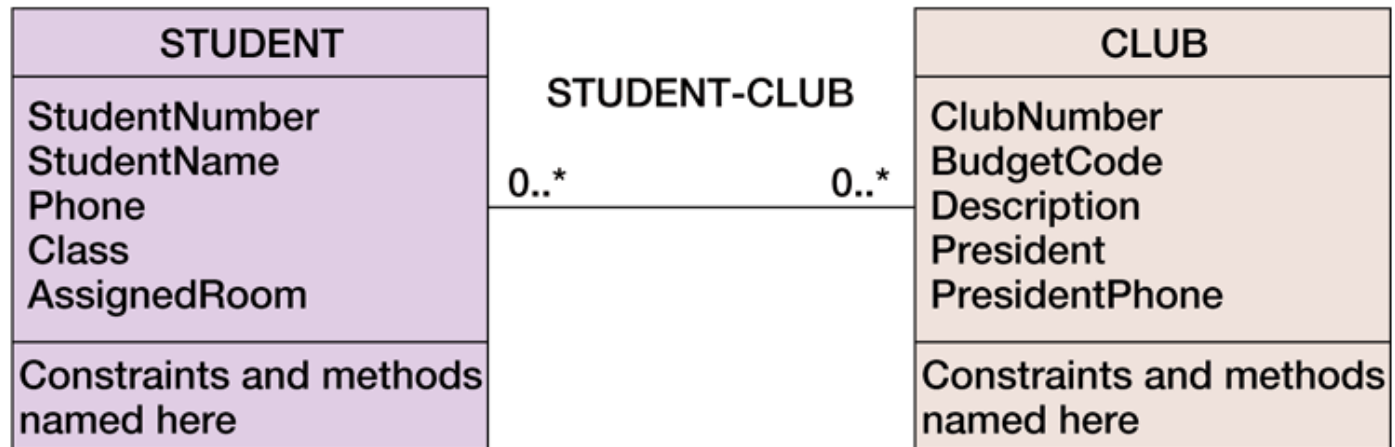
**Figure 2.27b** UML Representation of a 1:N Relationship



(b)

# Example: UML

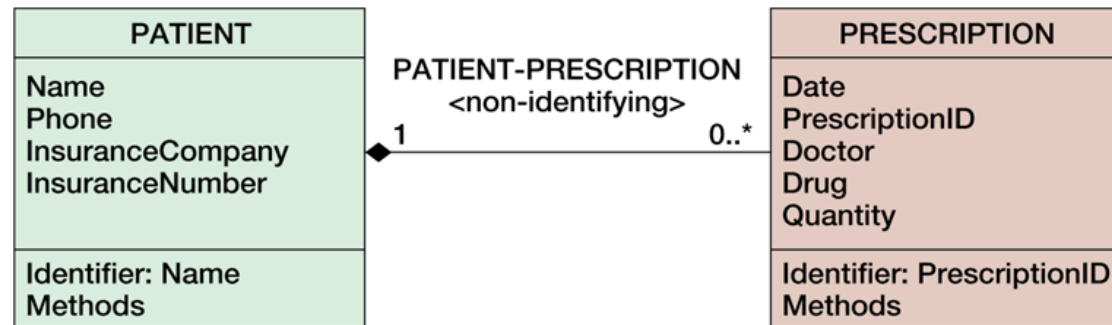
**Figure 2.27c** UML Representation of an N:M Relationship



(c)

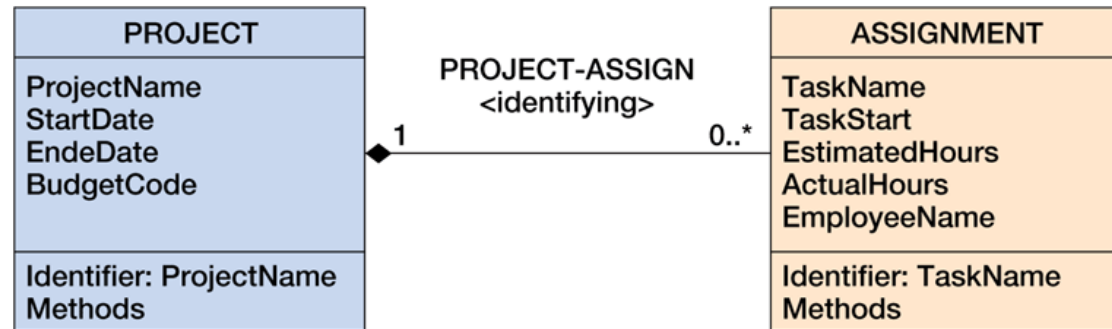
# UML: Weak Entities

**Figure 2.28a** UML Representation of Weak Entities — Non-ID-Dependent Weak Entity



(a)

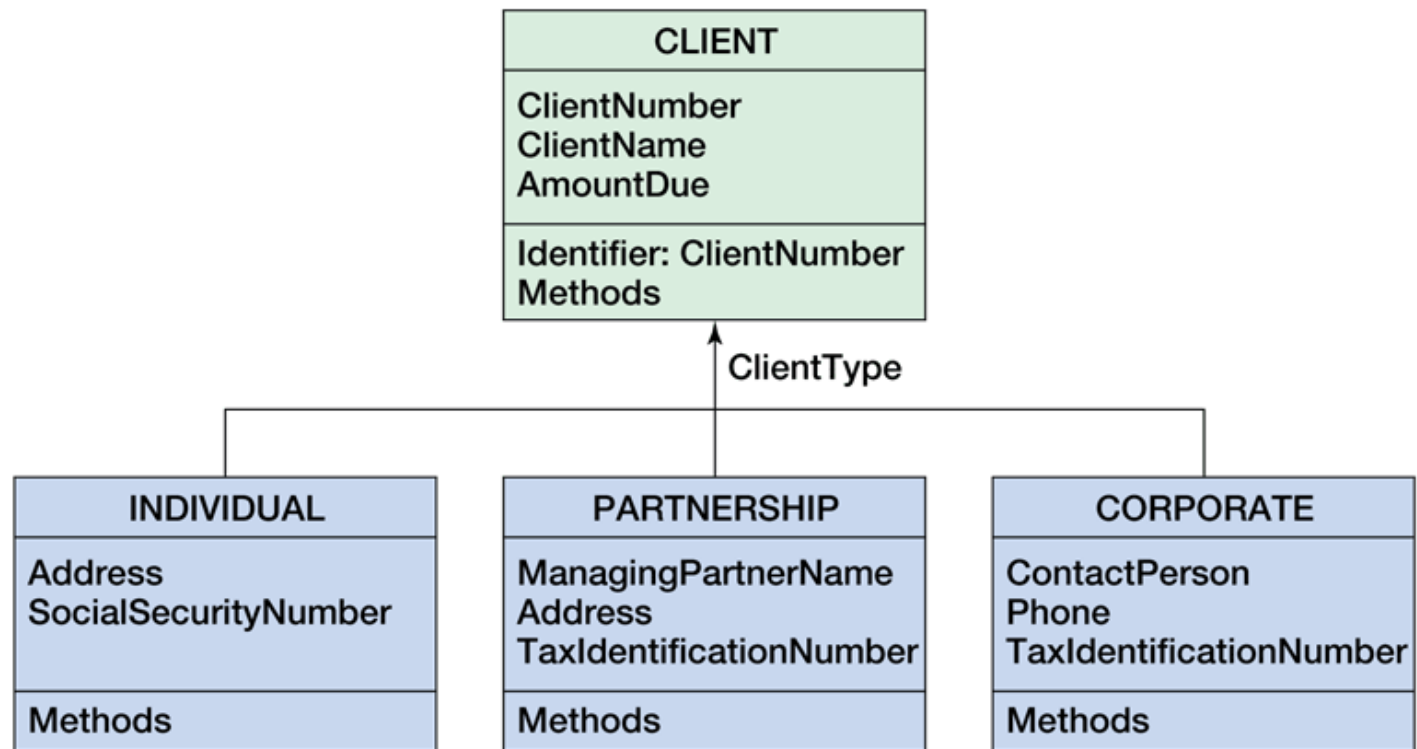
**Figure 2.28b** UML Representation of Weak Entities — ID-Dependent Weak Entity



(b)

# UML: Subtypes

**Figure 2.29** UML Representation of Subtypes



# Chapter 2

## Entity-Relationship Data Modeling: Tools and Techniques

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